

### HKTR AIDG v1.5.3 Checklist of Documents

Please contact HKTR by email – [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk) if any document is missing.

Descriptions for each document		Filename for each file attachment	
1	The Letter	1	The_Letter.pdf
2	HKTR AIDG v1.5.3 Checklist of Documents	2	HKTR_AIDG_v1.5.3_Checklist_of_Documents.pdf
3	HKTR AIDG Notification (Important Notes to Read)	3	HKTR_AIDG_Notification_Important_Notes_to_Read.pdf
4	HKTR Administration and Interface Development Guide (Reporting Service) v1.5.3	4	HKTR_Administration_and_Interface_Development_Guide_Reporting_Service_v1.5.3.pdf
5	Change Log from AIDG v1.5.2 to v1.5.3 (Reporting)	5	HKTR_AIDG_Change_Log_from_v1.5.2_to_v1.5.3_Reporting.pdf
6	AIDG v1.5.3 Appendix D - Validation Rules (Reporting)	6	AIDG_v1.5.3_Appendix_D-Validation_Rules_Reporting.pdf
7	AIDG v1.5.3 Appendix F - Reporting - Ref - Enumerations and Coding Schemes	7	AIDG_v1.5.3_Appendix_F-Reporting_Ref_Enumerations_and_coding_schemes.xlsx
<b>AIDG v1.5.3 for trade reporting in CSV2.1/Excel2.6</b>			
8	Appendix B - IR FX EQ Standard and Other Trade Submission Through CSV Format (Reporting) for CSV2.1	8	Appendix_B-IR_FX_EQ_Standard_and_Other_Trade_Submission_Through_CSV_Format_Reporting_CSV2.1.pdf
9	Appendix C Template 1 - IR Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	9	Appendix_C_Template_1-IR_Standard_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
10	Appendix C Template 2 - IR Other (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	10	Appendix_C_Template_2-IR_Other_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
11	Appendix C Template 3 - FX Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	11	Appendix_C_Template_3-FX_Standard_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
12	Appendix C Template 4 - FX Other (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	12	Appendix_C_Template_4-FX_Other_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
13	Appendix C Template 5 - EQ Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	13	Appendix_C_Template_5-EQ_Standard_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
14	Appendix C Template 6 - EQ Other (New Trade, Amendment, Backloading) (Reporting) CSV2.1/Excel2.6	14	Appendix_C_Template_6-EQ_Other_New_Trade_Amendment_Backloading_CSV2.1_Excel2.6.xlsm
15	Appendix C Template 7 - IR FX EQ Standard (Full Termination, Partial Termination) (Reporting) CSV2.1/Excel2.6	15	Appendix_C_Template_7-IR_FX_EQ_Standard_Full_Termination_Partial_Termination_CSV2.1_Excel2.6.xlsm
16	Appendix C Template 8 - IR FX EQ Standard and Other (Withdrawal, Quit) (Reporting) CSV2.1/Excel2.6	16	Appendix_C_Template_8-IR_FX_EQ_Standard_and_Other_Withdrawal_Quit_CSV2.1_Excel2.6.xlsm
17	Appendix C Template 9 - IR FX EQ Standard and Other (Relink) (Reporting) CSV2.1/Excel2.6	17	Appendix_C_Template_9-IR_FX_EQ_Standard_and_Other_Relink_CSV2.1_Excel2.6.xlsm
18	Appendix C Template 10 - IR FX EQ Standard and Other (Suppress Uncertain) (Reporting) CSV2.1/Excel2.6	18	Appendix_C_Template_10-IR_FX_EQ_Standard_and_Other_Suppress_Uncertain_CSV2.1_Excel2.6.xlsm
19	Appendix C - Ref-Response (Reporting) CSV2.1/Excel2.6	19	Appendix_C-Ref-Response_CSV2.1_Excel2.6.xlsx
<b>AIDG v1.5.3 for trade reporting in FpML5.5</b>			
20	Appendix A - IR FX EQ Standard Trade Submission Through XML Document (Reporting) for FpML5.5	20	Appendix_A-IR_FX_EQ_Standard_Trade_Submission_Through_XML_Document_Reporting_FpML5.5.pdf
21	Appendix E - Examples in XML Format (Trade) for FpML 5.5	21	Appendix_E-Examples_in_XML_Format_Trade_for_FpML5.5.pdf
22	Appendix E - Example 1 - treq-TRADEPARTY01-20140217-AMD_EQOPT_20140219.xml	22	treq-TRADEPARTY01-20140217-AMD_EQOPT_20140219.xml
23	Appendix E - Example 2 - treq-TRADEPARTY01-20140217-AMD_EQSWP_20140219.xml	23	treq-TRADEPARTY01-20140217-AMD_EQSWP_20140219.xml
24	Appendix E - Example 3 - treq-TRADEPARTY01-20140217-AMD_EQVSW_20140219.xml	24	treq-TRADEPARTY01-20140217-AMD_EQVSW_20140219.xml
25	Appendix E - Example 4 - treq-TRADEPARTY01-20140217-BLD_EQOPT_20140219.xml	25	treq-TRADEPARTY01-20140217-BLD_EQOPT_20140219.xml
26	Appendix E - Example 5 - treq-TRADEPARTY01-20140217-BLD_EQSWP_20140219.xml	26	treq-TRADEPARTY01-20140217-BLD_EQSWP_20140219.xml
27	Appendix E - Example 6 - treq-TRADEPARTY01-20140217-BLD_EQVSW_20140219.xml	27	treq-TRADEPARTY01-20140217-BLD_EQVSW_20140219.xml
28	Appendix E - Example 7 - treq-TRADEPARTY01-20140217-NTD_EQOPT_20140219.xml	28	treq-TRADEPARTY01-20140217-NTD_EQOPT_20140219.xml
29	Appendix E - Example 8 - treq-TRADEPARTY01-20140217-NTD_EQSWP_20140219.xml	29	treq-TRADEPARTY01-20140217-NTD_EQSWP_20140219.xml
30	Appendix E - Example 9 - treq-TRADEPARTY01-20140217-NTD_EQVSW_20140219.xml	30	treq-TRADEPARTY01-20140217-NTD_EQVSW_20140219.xml
31	Appendix E - Example 10 - treq-TRADEPARTY01-20140218-AMD_FXNDO_2014021802.xml	31	treq-TRADEPARTY01-20140218-AMD_FXNDO_2014021802.xml
32	Appendix E - Example 11 - treq-TRADEPARTY01-20140218-BLD_FXNDO_2014021802.xml	32	treq-TRADEPARTY01-20140218-BLD_FXNDO_2014021802.xml
33	Appendix E - Example 12 - treq-TRADEPARTY01-20140218-NTD_FXNDO_2014021802.xml	33	treq-TRADEPARTY01-20140218-NTD_FXNDO_2014021802.xml
34	Appendix E - Example 13 - treq-TRADEPARTY01-20140219-AMD_FXFWD_20140219202.xml	34	treq-TRADEPARTY01-20140219-AMD_FXFWD_20140219202.xml
35	Appendix E - Example 14 - treq-TRADEPARTY01-20140219-AMD_FXNDF_20140219202.xml	35	treq-TRADEPARTY01-20140219-AMD_FXNDF_20140219202.xml
36	Appendix E - Example 15 - treq-TRADEPARTY01-20140219-AMD_FXOPT_2014021809.xml	36	treq-TRADEPARTY01-20140219-AMD_FXOPT_2014021809.xml
37	Appendix E - Example 16 - treq-TRADEPARTY01-20140219-AMD_IRBSS_14998256.xml	37	treq-TRADEPARTY01-20140219-AMD_IRBSS_14998256.xml
38	Appendix E - Example 17 - treq-TRADEPARTY01-20140219-AMD_IRCBS_14998256.xml	38	treq-TRADEPARTY01-20140219-AMD_IRCBS_14998256.xml
39	Appendix E - Example 18 - treq-TRADEPARTY01-20140219-AMD_IRCFF_14998256.xml	39	treq-TRADEPARTY01-20140219-AMD_IRCFF_14998256.xml
40	Appendix E - Example 19 - treq-TRADEPARTY01-20140219-AMD_IRCFR_14998256.xml	40	treq-TRADEPARTY01-20140219-AMD_IRCFR_14998256.xml
41	Appendix E - Example 20 - treq-TRADEPARTY01-20140219-AMD_IRCXX_14998256.xml	41	treq-TRADEPARTY01-20140219-AMD_IRCXX_14998256.xml
42	Appendix E - Example 21 - treq-TRADEPARTY01-20140219-AMD_IRFRA_14998256.xml	42	treq-TRADEPARTY01-20140219-AMD_IRFRA_14998256.xml

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43	Appendix E - Example 22 - treq-TRADEPARTY01-20140219-AMD_IRFVF_14998256.xml	43	treq-TRADEPARTY01-20140219-AMD_IRFVF_14998256.xml
44	Appendix E - Example 23 - treq-TRADEPARTY01-20140219-AMD_IRINF_14998256.xml	44	treq-TRADEPARTY01-20140219-AMD_IRINF_14998256.xml
45	Appendix E - Example 24 - treq-TRADEPARTY01-20140219-AMD_IROIS_14998256.xml	45	treq-TRADEPARTY01-20140219-AMD_IROIS_14998256.xml
46	Appendix E - Example 25 - treq-TRADEPARTY01-20140219-AMD_IRSPT_14998256.xml	46	treq-TRADEPARTY01-20140219-AMD_IRSPT_14998256.xml
47	Appendix E - Example 26 - treq-TRADEPARTY01-20140219-AMD_IRSXX_14998256.xml	47	treq-TRADEPARTY01-20140219-AMD_IRSXX_14998256.xml
48	Appendix E - Example 27 - treq-TRADEPARTY01-20140219-BLD_FXFWD_20140219202.xml	48	treq-TRADEPARTY01-20140219-BLD_FXFWD_20140219202.xml
49	Appendix E - Example 28 - treq-TRADEPARTY01-20140219-BLD_FXNDF_20140219202.xml	49	treq-TRADEPARTY01-20140219-BLD_FXNDF_20140219202.xml
50	Appendix E - Example 29 - treq-TRADEPARTY01-20140219-BLD_FXOPT_2014021809.xml	50	treq-TRADEPARTY01-20140219-BLD_FXOPT_2014021809.xml
51	Appendix E - Example 30 - treq-TRADEPARTY01-20140219-BLD_IRBSS_14998256.xml	51	treq-TRADEPARTY01-20140219-BLD_IRBSS_14998256.xml
52	Appendix E - Example 31 - treq-TRADEPARTY01-20140219-BLD_IRCBS_14998256.xml	52	treq-TRADEPARTY01-20140219-BLD_IRCBS_14998256.xml
53	Appendix E - Example 32 - treq-TRADEPARTY01-20140219-BLD_IRCFF_14998256.xml	53	treq-TRADEPARTY01-20140219-BLD_IRCFF_14998256.xml
54	Appendix E - Example 33 - treq-TRADEPARTY01-20140219-BLD_IRCFR_14998256.xml	54	treq-TRADEPARTY01-20140219-BLD_IRCFR_14998256.xml
55	Appendix E - Example 34 - treq-TRADEPARTY01-20140219-BLD_IRCXX_14998256.xml	55	treq-TRADEPARTY01-20140219-BLD_IRCXX_14998256.xml
56	Appendix E - Example 35 - treq-TRADEPARTY01-20140219-BLD_IRFRA_14998256.xml	56	treq-TRADEPARTY01-20140219-BLD_IRFRA_14998256.xml
57	Appendix E - Example 36 - treq-TRADEPARTY01-20140219-BLD_IRFVF_14998256.xml	57	treq-TRADEPARTY01-20140219-BLD_IRFVF_14998256.xml
58	Appendix E - Example 37 - treq-TRADEPARTY01-20140219-BLD_IRINF_14998256.xml	58	treq-TRADEPARTY01-20140219-BLD_IRINF_14998256.xml
59	Appendix E - Example 38 - treq-TRADEPARTY01-20140219-BLD_IROIS_14998256.xml	59	treq-TRADEPARTY01-20140219-BLD_IROIS_14998256.xml
60	Appendix E - Example 39 - treq-TRADEPARTY01-20140219-BLD_IRSPT_14998256.xml	60	treq-TRADEPARTY01-20140219-BLD_IRSPT_14998256.xml
61	Appendix E - Example 40 - treq-TRADEPARTY01-20140219-BLD_IRSXX_14998256.xml	61	treq-TRADEPARTY01-20140219-BLD_IRSXX_14998256.xml
62	Appendix E - Example 41 - treq-TRADEPARTY01-20140219-FTM_2014021917.xml	62	treq-TRADEPARTY01-20140219-FTM_2014021917.xml
63	Appendix E - Example 42 - treq-TRADEPARTY01-20140219-NTD_FXFWD_20140219021.xml	63	treq-TRADEPARTY01-20140219-NTD_FXFWD_20140219021.xml
64	Appendix E - Example 43 - treq-TRADEPARTY01-20140219-NTD_FXNDF_20140219010.xml	64	treq-TRADEPARTY01-20140219-NTD_FXNDF_20140219010.xml
65	Appendix E - Example 44 - treq-TRADEPARTY01-20140219-NTD_FXOPT_2014021808.xml	65	treq-TRADEPARTY01-20140219-NTD_FXOPT_2014021808.xml
66	Appendix E - Example 45 - treq-TRADEPARTY01-20140219-NTD_IRBSS_14998256.xml	66	treq-TRADEPARTY01-20140219-NTD_IRBSS_14998256.xml
67	Appendix E - Example 46 - treq-TRADEPARTY01-20140219-NTD_IRCBS_14998256.xml	67	treq-TRADEPARTY01-20140219-NTD_IRCBS_14998256.xml
68	Appendix E - Example 47 - treq-TRADEPARTY01-20140219-NTD_IRCFF_14998256.xml	68	treq-TRADEPARTY01-20140219-NTD_IRCFF_14998256.xml
69	Appendix E - Example 48 - treq-TRADEPARTY01-20140219-NTD_IRCFR_14998256.xml	69	treq-TRADEPARTY01-20140219-NTD_IRCFR_14998256.xml
70	Appendix E - Example 49 - treq-TRADEPARTY01-20140219-NTD_IRCXX_14998256.xml	70	treq-TRADEPARTY01-20140219-NTD_IRCXX_14998256.xml
71	Appendix E - Example 50 - treq-TRADEPARTY01-20140219-NTD_IRFRA_14998256.xml	71	treq-TRADEPARTY01-20140219-NTD_IRFRA_14998256.xml
72	Appendix E - Example 51 - treq-TRADEPARTY01-20140219-NTD_IRFVF_14998256.xml	72	treq-TRADEPARTY01-20140219-NTD_IRFVF_14998256.xml
73	Appendix E - Example 52 - treq-TRADEPARTY01-20140219-NTD_IRINF_14998256.xml	73	treq-TRADEPARTY01-20140219-NTD_IRINF_14998256.xml
74	Appendix E - Example 53 - treq-TRADEPARTY01-20140219-NTD_IROIS_14998256.xml	74	treq-TRADEPARTY01-20140219-NTD_IROIS_14998256.xml
75	Appendix E - Example 54 - treq-TRADEPARTY01-20140219-NTD_IRSPT_14998256.xml	75	treq-TRADEPARTY01-20140219-NTD_IRSPT_14998256.xml
76	Appendix E - Example 55 - treq-TRADEPARTY01-20140219-NTD_IRSXX_14998256.xml	76	treq-TRADEPARTY01-20140219-NTD_IRSXX_14998256.xml
77	Appendix E - Example 56 - treq-TRADEPARTY01-20140219-PTM_1370334200864.xml	77	treq-TRADEPARTY01-20140219-PTM_1370334200864.xml
78	Appendix E - Example 57 - treq-TRADEPARTY01-20140219-QIT_1370334200864.xml	78	treq-TRADEPARTY01-20140219-QIT_1370334200864.xml
79	Appendix E - Example 58 - treq-TRADEPARTY01-20140219-RLK_1370334200864.xml	79	treq-TRADEPARTY01-20140219-RLK_1370334200864.xml
80	Appendix E - Example 59 - treq-TRADEPARTY01-20140219-SUC_1370334200864.xml	80	treq-TRADEPARTY01-20140219-SUC_1370334200864.xml
81	Appendix E - Example 60 - treq-TRADEPARTY01-20150807-AMD_EQDSW_20150807.xml	81	treq-TRADEPARTY01-20150807-AMD_EQDSW_20150807.xml
82	Appendix E - Example 61 - treq-TRADEPARTY01-20150807-BLD_EQDSW_20150807.xml	82	treq-TRADEPARTY01-20150807-BLD_EQDSW_20150807.xml
83	Appendix E - Example 62 - treq-TRADEPARTY01-20150807-NTD_EQDSW_20150807.xml	83	treq-TRADEPARTY01-20150807-NTD_EQDSW_20150807.xml
84	Appendix E - Example 63 - treq-TRADEPARTY01-20160928-WDL_2016092864.xml	84	treq-TRADEPARTY01-20160928-WDL_2016092864.xml
85	Appendix E - Guidelines on using extended schema (Trade) FpML5.5	85	Appendix_E-Guidelines_on_using_extended_schema_Trade_FpML5.5.pdf
86	Appendix E - Extended FpML schema 1 - hkicl-tr-asset.xsd	86	hkicl-tr-asset.xsd
87	Appendix E - Extended FpML schema 2 -hkicl-tr-business-events.xsd	87	hkicl-tr-business-events.xsd
88	Appendix E - Extended FpML schema 3 -hkicl-tr-dividend-swaps.xsd	88	hkicl-tr-dividend-swaps.xsd
89	Appendix E - Extended FpML schema 4 -hkicl-tr-doc.xsd	89	hkicl-tr-doc.xsd
90	Appendix E - Extended FpML schema 5 -hkicl-tr-fpml-extended.xsd	90	hkicl-tr-fpml-extended.xsd
91	Appendix E - Extended FpML schema 6 -hkicl-tr-fx.xsd	91	hkicl-tr-fx.xsd
92	Appendix E - Extended FpML schema 7 -hkicl-tr-ird.xsd	92	hkicl-tr-ird.xsd

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93	Appendix E - Extended FpML schema 8 -hkicl-tr-main.xsd	93	hkicl-tr-main.xsd
94	Appendix E - Extended FpML schema 9 -hkicl-tr-reporting.xsd	94	hkicl-tr-reporting.xsd
95	Appendix E - Extended FpML schema 10 -hkicl-tr-shared.xsd	95	hkicl-tr-shared.xsd
96	Appendix E - Extended FpML schema 11 -hkicl-tr-valuation-reporting.xsd	96	hkicl-tr-valuation-reporting.xsd
97	Appendix E - Extended FpML schema 12 -hkicl-tr-variance-swaps.xsd	97	hkicl-tr-variance-swaps.xsd
<b>AIDG v1.5.3 for trade reporting in CSV3.0/Excel3.3</b>			
98	Appendix B - CD CM Standard and Other Trade Submission Through CSV Format (Reporting) for CSV 3.0	98	Appendix_B-CD_CM_Standard_and_Other_Trade_Submission_Through_CSV_Format_Reporting_CSV3.0.pdf
99	Appendix C Template 1 - CD Standard (New Trade, Amendment, Backloading) (Reporting) CSV3.0/Excel3.3	99	Appendix_C_Template_1-CD_Standard_New_Trade_Amendment_Backloading_CSV3.0_Excel3.3.xlsm
100	Appendix C Template 2 - CD Other (New Trade, Amendment, Backloading) (Reporting) CSV3.0/Excel3.3	100	Appendix_C_Template_2-CD_Other_New_Trade_Amendment_Backloading_CSV3.0_Excel3.3.xlsm
101	Appendix C Template 3 - CM Standard (New Trade, Amendment, Backloading) (Reporting) CSV3.0/Excel3.3	101	Appendix_C_Template_3-CM_Standard_New_Trade_Amendment_Backloading_CSV3.0_Excel3.3.xlsm
102	Appendix C Template 4 - CM Other (New Trade, Amendment, Backloading) (Reporting) CSV3.0/Excel3.3	102	Appendix_C_Template_4-CM_Other_New_Trade_Amendment_Backloading_CSV3.0_Excel3.3.xlsm
103	Appendix C Template 5 - CD CM Standard (Full Termination, Partial Termination) (Reporting) CSV3.0/Excel3.3	103	Appendix_C_Template_5-CD_CM_Standard_Full_Termination_Partial_Termination_CSV3.0_Excel3.3.xlsm
104	Appendix C Template 6 - CD CM Standard and Other (Withdrawal, Quit) (Reporting) CSV3.0/Excel3.3	104	Appendix_C_Template_6-CD_CM_Standard_and_Other_Withdrawal_Quit_CSV3.0_Excel3.3.xlsm
105	Appendix C Template 7 - CD CM Standard and Other (Relink) (Reporting) CSV3.0/Excel3.3	105	Appendix_C_Template_7-CD_CM_Standard_and_Other_Relink_CSV3.0_Excel3.3.xlsm
106	Appendix C Template 8 - CD CM Standard and Other (Suppress Uncertain) (Reporting) CSV3.0/Excel3.3	106	Appendix_C_Template_8-CD_CM_Standard_and_Other_Suppress_Uncertain_CSV3.0_Excel3.3.xlsm
107	Appendix C - Ref-Response (Reporting) CSV3.0/Excel3.3	107	Appendix_C-Ref-Response_CSV3.0_Excel3.3.xlsx
<b>AIDG v1.5.3 for trade reporting in FpML5.7</b>			
108	Appendix A - CD CM Standard Trade Submission Through XML Document (Reporting) for FpML5.7	108	Appendix_A-CD_CM_Standard_Trade_Submission_Through_XML_Document_Reporting_FpML5.7.pdf
109	Appendix E - Examples in XML Format (Trade) for FpML5.7	109	Appendix_E-Examples_in_XML_Format_Trade_for_FpML5.7.pdf
110	Appendix E - Example 1 - treq-TRADEPARTY01-20150721-FR_AMD_CMSXC_1437471445622.xml	110	treq-TRADEPARTY01-20150721-FR_AMD_CMSXC_1437471445622.xml
111	Appendix E - Example 2 - treq-TRADEPARTY01-20150721-FR_AMD_CMSXG_1437471447324.xml	111	treq-TRADEPARTY01-20150721-FR_AMD_CMSXG_1437471447324.xml
112	Appendix E - Example 3 - treq-TRADEPARTY01-20150721-FR_AMD_CMSXO_1437471447945.xml	112	treq-TRADEPARTY01-20150721-FR_AMD_CMSXO_1437471447945.xml
113	Appendix E - Example 4 - treq-TRADEPARTY01-20150721-FR_BLD_CMSXC_1437471445622.xml	113	treq-TRADEPARTY01-20150721-FR_BLD_CMSXC_1437471445622.xml
114	Appendix E - Example 5 - treq-TRADEPARTY01-20150721-FR_BLD_CMSXG_1437471447324.xml	114	treq-TRADEPARTY01-20150721-FR_BLD_CMSXG_1437471447324.xml
115	Appendix E - Example 6 - treq-TRADEPARTY01-20150721-FR_BLD_CMSXO_1437471447945.xml	115	treq-TRADEPARTY01-20150721-FR_BLD_CMSXO_1437471447945.xml
116	Appendix E - Example 7 - treq-TRADEPARTY01-20150721-FR_NTD_CMSXC_1437471445622.xml	116	treq-TRADEPARTY01-20150721-FR_NTD_CMSXC_1437471445622.xml
117	Appendix E - Example 8 - treq-TRADEPARTY01-20150721-FR_NTD_CMSXG_1437471447324.xml	117	treq-TRADEPARTY01-20150721-FR_NTD_CMSXG_1437471447324.xml
118	Appendix E - Example 9 - treq-TRADEPARTY01-20150721-FR_NTD_CMSXO_1437471447945.xml	118	treq-TRADEPARTY01-20150721-FR_NTD_CMSXO_1437471447945.xml
119	Appendix E - Example 10 - treq-TRADEPARTY01-20150722-FR_AMD_CMSFC_1437537499117.xml	119	treq-TRADEPARTY01-20150722-FR_AMD_CMSFC_1437537499117.xml
120	Appendix E - Example 11 - treq-TRADEPARTY01-20150722-FR_AMD_CMSFE_1437537499337.xml	120	treq-TRADEPARTY01-20150722-FR_AMD_CMSFE_1437537499337.xml
121	Appendix E - Example 12 - treq-TRADEPARTY01-20150722-FR_AMD_CMSFF_1437537499595.xml	121	treq-TRADEPARTY01-20150722-FR_AMD_CMSFF_1437537499595.xml
122	Appendix E - Example 13 - treq-TRADEPARTY01-20150722-FR_AMD_CMSFG_1437537499899.xml	122	treq-TRADEPARTY01-20150722-FR_AMD_CMSFG_1437537499899.xml
123	Appendix E - Example 14 - treq-TRADEPARTY01-20150722-FR_AMD_CMSFO_1437537500099.xml	123	treq-TRADEPARTY01-20150722-FR_AMD_CMSFO_1437537500099.xml
124	Appendix E - Example 15 - treq-TRADEPARTY01-20150722-FR_AMD_CMSXE_1437537498602.xml	124	treq-TRADEPARTY01-20150722-FR_AMD_CMSXE_1437537498602.xml
125	Appendix E - Example 16 - treq-TRADEPARTY01-20150722-FR_AMD_CMSXF_1437537498792.xml	125	treq-TRADEPARTY01-20150722-FR_AMD_CMSXF_1437537498792.xml
126	Appendix E - Example 17 - treq-TRADEPARTY01-20150722-FR_BLD_CMSFC_1437537499117.xml	126	treq-TRADEPARTY01-20150722-FR_BLD_CMSFC_1437537499117.xml
127	Appendix E - Example 18 - treq-TRADEPARTY01-20150722-FR_BLD_CMSFE_1437537499337.xml	127	treq-TRADEPARTY01-20150722-FR_BLD_CMSFE_1437537499337.xml
128	Appendix E - Example 19 - treq-TRADEPARTY01-20150722-FR_BLD_CMSFF_1437537499595.xml	128	treq-TRADEPARTY01-20150722-FR_BLD_CMSFF_1437537499595.xml
129	Appendix E - Example 20 - treq-TRADEPARTY01-20150722-FR_BLD_CMSFG_1437537499899.xml	129	treq-TRADEPARTY01-20150722-FR_BLD_CMSFG_1437537499899.xml
130	Appendix E - Example 21 - treq-TRADEPARTY01-20150722-FR_BLD_CMSFO_1437537500099.xml	130	treq-TRADEPARTY01-20150722-FR_BLD_CMSFO_1437537500099.xml
131	Appendix E - Example 22 - treq-TRADEPARTY01-20150722-FR_BLD_CMSXE_1437537498602.xml	131	treq-TRADEPARTY01-20150722-FR_BLD_CMSXE_1437537498602.xml
132	Appendix E - Example 23 - treq-TRADEPARTY01-20150722-FR_BLD_CMSXF_1437537498792.xml	132	treq-TRADEPARTY01-20150722-FR_BLD_CMSXF_1437537498792.xml
133	Appendix E - Example 24 - treq-TRADEPARTY01-20150722-FR_NTD_CMSFC_1437537499117.xml	133	treq-TRADEPARTY01-20150722-FR_NTD_CMSFC_1437537499117.xml
134	Appendix E - Example 25 - treq-TRADEPARTY01-20150722-FR_NTD_CMSFE_1437537499337.xml	134	treq-TRADEPARTY01-20150722-FR_NTD_CMSFE_1437537499337.xml
135	Appendix E - Example 26 - treq-TRADEPARTY01-20150722-FR_NTD_CMSFF_1437537499595.xml	135	treq-TRADEPARTY01-20150722-FR_NTD_CMSFF_1437537499595.xml
136	Appendix E - Example 27 - treq-TRADEPARTY01-20150722-FR_NTD_CMSFG_1437537499899.xml	136	treq-TRADEPARTY01-20150722-FR_NTD_CMSFG_1437537499899.xml
137	Appendix E - Example 28 - treq-TRADEPARTY01-20150722-FR_NTD_CMSFO_1437537500099.xml	137	treq-TRADEPARTY01-20150722-FR_NTD_CMSFO_1437537500099.xml
138	Appendix E - Example 29 - treq-TRADEPARTY01-20150722-FR_NTD_CMSXE_1437537498602.xml	138	treq-TRADEPARTY01-20150722-FR_NTD_CMSXE_1437537498602.xml
139	Appendix E - Example 30 - treq-TRADEPARTY01-20150722-FR_NTD_CMSXF_1437537498792.xml	139	treq-TRADEPARTY01-20150722-FR_NTD_CMSXF_1437537498792.xml
140	Appendix E - Example 31 - treq-TRADEPARTY01-20150730-FR_NTD_CMFAB_1438227029240.xml	140	treq-TRADEPARTY01-20150730-FR_NTD_CMFAB_1438227029240.xml

Descriptions for each document		Filename for each file attachment	
141	Appendix E - Example 32 - req-TRADEPARTY01-20150730-FR_NTD_CMFAM_1438227029828.xml	141	treq-TRADEPARTY01-20150730-FR_NTD_CMFAM_1438227029828.xml
142	Appendix E - Example 33 - req-TRADEPARTY01-20150730-FR_NTD_CMF XB_1438227185876.xml	142	treq-TRADEPARTY01-20150730-FR_NTD_CMF XB_1438227185876.xml
143	Appendix E - Example 34 - req-TRADEPARTY01-20150730-FR_NTD_CMF XM_1438227318756.xml	143	treq-TRADEPARTY01-20150730-FR_NTD_CMF XM_1438227318756.xml
144	Appendix E - Example 35 - req-TRADEPARTY01-20150730-FR_NTD_CMOAB_1438225515932.xml	144	treq-TRADEPARTY01-20150730-FR_NTD_CMOAB_1438225515932.xml
145	Appendix E - Example 36 - req-TRADEPARTY01-20150730-FR_NTD_CMOAM_1438225516654.xml	145	treq-TRADEPARTY01-20150730-FR_NTD_CMOAM_1438225516654.xml
146	Appendix E - Example 37 - req-TRADEPARTY01-20150730-FR_NTD_CMOOO_1438225517666.xml	146	treq-TRADEPARTY01-20150730-FR_NTD_CMOOO_1438225517666.xml
147	Appendix E - Example 38 - req-TRADEPARTY01-20150730-FR_NTD_CMOXB_1438225517137.xml	147	treq-TRADEPARTY01-20150730-FR_NTD_CMOXB_1438225517137.xml
148	Appendix E - Example 39 - req-TRADEPARTY01-20150730-FR_NTD_CMOXM_1438225518262.xml	148	treq-TRADEPARTY01-20150730-FR_NTD_CMOXM_1438225518262.xml
149	Appendix E - Example 40 - req-TRADEPARTY01-20150806-FR_AMD_CMFAB_1438227029240.xml	149	treq-TRADEPARTY01-20150806-FR_AMD_CMFAB_1438227029240.xml
150	Appendix E - Example 41 - req-TRADEPARTY01-20150806-FR_AMD_CMFAM_1438227029828.xml	150	treq-TRADEPARTY01-20150806-FR_AMD_CMFAM_1438227029828.xml
151	Appendix E - Example 42 - req-TRADEPARTY01-20150806-FR_AMD_CMF XB_1438227029240.xml	151	treq-TRADEPARTY01-20150806-FR_AMD_CMF XB_1438227029240.xml
152	Appendix E - Example 43 - req-TRADEPARTY01-20150806-FR_AMD_CMF XM_1438227029240.xml	152	treq-TRADEPARTY01-20150806-FR_AMD_CMF XM_1438227029240.xml
153	Appendix E - Example 44 - req-TRADEPARTY01-20150806-FR_AMD_CMOAB_1438225517666.xml	153	treq-TRADEPARTY01-20150806-FR_AMD_CMOAB_1438225517666.xml
154	Appendix E - Example 45 - req-TRADEPARTY01-20150806-FR_AMD_CMOAM_1438225517666.xml	154	treq-TRADEPARTY01-20150806-FR_AMD_CMOAM_1438225517666.xml
155	Appendix E - Example 46 - req-TRADEPARTY01-20150806-FR_AMD_CMOOO_1438225517666.xml	155	treq-TRADEPARTY01-20150806-FR_AMD_CMOOO_1438225517666.xml
156	Appendix E - Example 47 - req-TRADEPARTY01-20150806-FR_AMD_CMOXB_1438225517666.xml	156	treq-TRADEPARTY01-20150806-FR_AMD_CMOXB_1438225517666.xml
157	Appendix E - Example 48 - req-TRADEPARTY01-20150806-FR_AMD_CMOXM_1438225517666.xml	157	treq-TRADEPARTY01-20150806-FR_AMD_CMOXM_1438225517666.xml
158	Appendix E - Example 49 - req-TRADEPARTY01-20150806-FR_BLD_CMFAB_1438227029240.xml	158	treq-TRADEPARTY01-20150806-FR_BLD_CMFAB_1438227029240.xml
159	Appendix E - Example 50 - req-TRADEPARTY01-20150806-FR_BLD_CMFAM_1438227029828.xml	159	treq-TRADEPARTY01-20150806-FR_BLD_CMFAM_1438227029828.xml
160	Appendix E - Example 51 - req-TRADEPARTY01-20150806-FR_BLD_CMF XB_1438227029240.xml	160	treq-TRADEPARTY01-20150806-FR_BLD_CMF XB_1438227029240.xml
161	Appendix E - Example 52 - req-TRADEPARTY01-20150806-FR_BLD_CMF XM_1438227029240.xml	161	treq-TRADEPARTY01-20150806-FR_BLD_CMF XM_1438227029240.xml
162	Appendix E - Example 53 - req-TRADEPARTY01-20150806-FR_BLD_CMOAB_1438225517666.xml	162	treq-TRADEPARTY01-20150806-FR_BLD_CMOAB_1438225517666.xml
163	Appendix E - Example 54 - req-TRADEPARTY01-20150806-FR_BLD_CMOAM_1438225517666.xml	163	treq-TRADEPARTY01-20150806-FR_BLD_CMOAM_1438225517666.xml
164	Appendix E - Example 55 - req-TRADEPARTY01-20150806-FR_BLD_CMOOO_1438225517666.xml	164	treq-TRADEPARTY01-20150806-FR_BLD_CMOOO_1438225517666.xml
165	Appendix E - Example 56 - req-TRADEPARTY01-20150806-FR_BLD_CMOXB_1438225517666.xml	165	treq-TRADEPARTY01-20150806-FR_BLD_CMOXB_1438225517666.xml
166	Appendix E - Example 57 - req-TRADEPARTY01-20150806-FR_BLD_CMOXM_1438225517666.xml	166	treq-TRADEPARTY01-20150806-FR_BLD_CMOXM_1438225517666.xml
167	Appendix E - Example 58 - req-TRADEPARTY01-20150806-FR_FTM_CMSXG_1438823952453.xml	167	treq-TRADEPARTY01-20150806-FR_FTM_CMSXG_1438823952453.xml
168	Appendix E - Example 59 - req-TRADEPARTY01-20150806-FR_PTM_CMSFF_1438823955274.xml	168	treq-TRADEPARTY01-20150806-FR_PTM_CMSFF_1438823955274.xml
169	Appendix E - Example 60 - req-TRADEPARTY01-20150807-FR_AMD_CDITC_1438918883620.xml	169	treq-TRADEPARTY01-20150807-FR_AMD_CDITC_1438918883620.xml
170	Appendix E - Example 61 - req-TRADEPARTY01-20150807-FR_AMD_CDITI_1438918880482.xml	170	treq-TRADEPARTY01-20150807-FR_AMD_CDITI_1438918880482.xml
171	Appendix E - Example 62 - req-TRADEPARTY01-20150807-FR_AMD_CDIXC_1438918890408.xml	171	treq-TRADEPARTY01-20150807-FR_AMD_CDIXC_1438918890408.xml
172	Appendix E - Example 63 - req-TRADEPARTY01-20150807-FR_AMD_CDIXI_1438918886980.xml	172	treq-TRADEPARTY01-20150807-FR_AMD_CDIXI_1438918886980.xml
173	Appendix E - Example 64 - req-TRADEPARTY01-20150807-FR_AMD_CDSNC_1438918877134.xml	173	treq-TRADEPARTY01-20150807-FR_AMD_CDSNC_1438918877134.xml
174	Appendix E - Example 65 - req-TRADEPARTY01-20150807-FR_AMD_CDSNS_1438918873201.xml	174	treq-TRADEPARTY01-20150807-FR_AMD_CDSNS_1438918873201.xml
175	Appendix E - Example 66 - req-TRADEPARTY01-20150807-FR_BLD_CDITC_1438918884063.xml	175	treq-TRADEPARTY01-20150807-FR_BLD_CDITC_1438918884063.xml
176	Appendix E - Example 67 - req-TRADEPARTY01-20150807-FR_BLD_CDITI_1438918880969.xml	176	treq-TRADEPARTY01-20150807-FR_BLD_CDITI_1438918880969.xml
177	Appendix E - Example 68 - req-TRADEPARTY01-20150807-FR_BLD_CDIXC_1438918890889.xml	177	treq-TRADEPARTY01-20150807-FR_BLD_CDIXC_1438918890889.xml
178	Appendix E - Example 69 - req-TRADEPARTY01-20150807-FR_BLD_CDIXI_1438918887505.xml	178	treq-TRADEPARTY01-20150807-FR_BLD_CDIXI_1438918887505.xml
179	Appendix E - Example 70 - req-TRADEPARTY01-20150807-FR_BLD_CDSNC_1438918877536.xml	179	treq-TRADEPARTY01-20150807-FR_BLD_CDSNC_1438918877536.xml
180	Appendix E - Example 71 - req-TRADEPARTY01-20150807-FR_BLD_CDSNS_1438918874055.xml	180	treq-TRADEPARTY01-20150807-FR_BLD_CDSNS_1438918874055.xml
181	Appendix E - Example 72 - req-TRADEPARTY01-20150807-FR_NTD_CDITC_1438918883186.xml	181	treq-TRADEPARTY01-20150807-FR_NTD_CDITC_1438918883186.xml
182	Appendix E - Example 73 - req-TRADEPARTY01-20150807-FR_NTD_CDITI_1438918879937.xml	182	treq-TRADEPARTY01-20150807-FR_NTD_CDITI_1438918879937.xml
183	Appendix E - Example 74 - req-TRADEPARTY01-20150807-FR_NTD_CDIXC_1438918889892.xml	183	treq-TRADEPARTY01-20150807-FR_NTD_CDIXC_1438918889892.xml
184	Appendix E - Example 75 - req-TRADEPARTY01-20150807-FR_NTD_CDIXI_1438918886496.xml	184	treq-TRADEPARTY01-20150807-FR_NTD_CDIXI_1438918886496.xml
185	Appendix E - Example 76 - req-TRADEPARTY01-20150807-FR_NTD_CDSNC_1438918876661.xml	185	treq-TRADEPARTY01-20150807-FR_NTD_CDSNC_1438918876661.xml
186	Appendix E - Example 77 - req-TRADEPARTY01-20150807-FR_NTD_CDSNS_1438918872220.xml	186	treq-TRADEPARTY01-20150807-FR_NTD_CDSNS_1438918872220.xml
187	Appendix E - Example 78 - req-TRADEPARTY01-20150807-FR_QIT_CDITI_1438918882766.xml	187	treq-TRADEPARTY01-20150807-FR_QIT_CDITI_1438918882766.xml
188	Appendix E - Example 79 - req-TRADEPARTY01-20150807-FR_RLK_CDIXI_1438918887068.xml	188	treq-TRADEPARTY01-20150807-FR_RLK_CDIXI_1438918887068.xml
189	Appendix E - Example 80 - req-TRADEPARTY01-20150807-FR_SUC_CDSNC_1438918877239.xml	189	treq-TRADEPARTY01-20150807-FR_SUC_CDSNC_1438918877239.xml
190	Appendix E - Example 81 - req-TRADEPARTY01-20150807-FR_WDL_CDSNS_1438918875762.xml	190	treq-TRADEPARTY01-20150807-FR_WDL_CDSNS_1438918875762.xml

Descriptions for each document		Filename for each file attachment	
191	Appendix E - Example 82 - treq-TRADEPARTY01-20160928-FR_FTM_CDIXC_2016092821.xml	191	treq-TRADEPARTY01-20160928-FR_FTM_CDIXC_2016092821.xml
192	Appendix E - Example 83 - treq-TRADEPARTY01-20160928-FR_PTM_CDITC_2016092864.xml	192	treq-TRADEPARTY01-20160928-FR_PTM_CDITC_2016092864.xml
193	Appendix E - Guidelines on using extended schema (Trade) FpML5.7	193	Appendix_E-Guidelines_on_using_extended_schema_Trade_FpML5.7.pdf
194	Appendix E - Extended FpML schema 1 - hkicl-tr-asset.xsd	194	hkicl-tr-asset.xsd
195	Appendix E - Extended FpML schema 2 -hkicl-tr-business-events.xsd	195	hkicl-tr-business-events.xsd
196	Appendix E - Extended FpML schema 3 -hkicl-tr-cd.xsd	196	hkicl-tr-cd.xsd
197	Appendix E - Extended FpML schema 4 -hkicl-tr-doc.xsd	197	hkicl-tr-doc.xsd
198	Appendix E - Extended FpML schema 5 -hkicl-tr-enum.xsd	198	hkicl-tr-enum.xsd
199	Appendix E - Extended FpML schema 6 -hkicl-tr-fpml-extended.xsd	199	hkicl-tr-fpml-extended.xsd
200	Appendix E - Extended FpML schema 7 -hkicl-tr-main.xsd	200	hkicl-tr-main.xsd
201	Appendix E - Extended FpML schema 8 -hkicl-tr-reporting.xsd	201	hkicl-tr-reporting.xsd
202	Appendix E - Extended FpML schema 9 -hkicl-tr-shared.xsd	202	hkicl-tr-shared.xsd
<b>AIDG v1.5.3 for valuation reporting in CSV2.2/Excel2.7</b>			
203	Appendix B - All Standard and Other Valuation Submission Through CSV Format (Reporting) for CSV2.2	203	Appendix_B-All_Standard_and_Other_Valuation_Submission_Through_CSV_Format_Reporting_CSV2.2.pdf
204	Appendix C Template - All Standard and Other Valuation (Reporting) CSV2.2/Excel2.7	204	Appendix_C_Template-All_Standard_and_Other_Valuation_CSV2.2_Excel2.7.xlsm
205	Appendix C - Ref-Response (Reporting) CSV2.2/Excel2.7	205	Appendix_C-Ref-Response_CSV2.2_Excel2.7.xlsx
<b>AIDG v1.5.3 for valuation reporting in FpML5.5</b>			
206	Appendix G - All Standard and Other Valuation Submission Through XML Document for FpML5.5	206	Appendix_G-All_Standard_and_Other_Valuation_Submission_Through_XML_Document_FpML5.5.pdf
207	Appendix H - Examples in XML Format (Valuation) for FpML5.5	207	Appendix_H-Examples_in_XML_Format_Valuation_for_FpML5.5.pdf
208	Appendix H - Example 1 - vreq-TPT1-20150807-MTM_IRCXX_0891531_addOrModify.xml	208	vreq-TPT1-20150807-MTM_IRCXX_0891531_addOrModify.xml
209	Appendix H - Example 2 - vreq-TPT1-20150807-MTM_IRCXX_0891531_delete.xml	209	vreq-TPT1-20150807-MTM_IRCXX_0891531_delete.xml
210	Appendix H - Guidelines on using extended schema (Valuation) FpML5.5	210	Appendix_H-Guidelines_on_using_extended_schema_Valuation_FpML5.5.pdf
211	Appendix H - Extended FpML schema 1 -hkicl-tr-asset.xsd	211	hkicl-tr-asset.xsd
212	Appendix H - Extended FpML schema 2 -hkicl-tr-business-events.xsd	212	hkicl-tr-business-events.xsd
213	Appendix H - Extended FpML schema 3 -hkicl-tr-dividend-swaps.xsd	213	hkicl-tr-dividend-swaps.xsd
214	Appendix H - Extended FpML schema 4 -hkicl-tr-doc.xsd	214	hkicl-tr-doc.xsd
215	Appendix H - Extended FpML schema 5 -hkicl-tr-fpml-extended.xsd	215	hkicl-tr-fpml-extended.xsd
216	Appendix H - Extended FpML schema 6 -hkicl-tr-fx.xsd	216	hkicl-tr-fx.xsd
217	Appendix H - Extended FpML schema 7 -hkicl-tr-ird.xsd	217	hkicl-tr-ird.xsd
218	Appendix H - Extended FpML schema 8 -hkicl-tr-main.xsd	218	hkicl-tr-main.xsd
219	Appendix H - Extended FpML schema 9 -hkicl-tr-reporting.xsd	219	hkicl-tr-reporting.xsd
220	Appendix H - Extended FpML schema 10 -hkicl-tr-shared.xsd	220	hkicl-tr-shared.xsd
221	Appendix H - Extended FpML schema 11 -hkicl-tr-valuation-reporting.xsd	221	hkicl-tr-valuation-reporting.xsd
222	Appendix H - Extended FpML schema 12 -hkicl-tr-variance-swaps.xsd	222	hkicl-tr-variance-swaps.xsd