

## Change Log (SWIFT related) from AIDG version 1.5.2 to 1.5.3 (Reporting)

No	AIDG 1.5.3 Version	Document Name	Section	Change Description This change log states the update specific to TR Enhancement for SWIFT WebAccess
1	AIDG 1.5.3	HKTR Administration and Interface Development Guide (Reporting Service) version 1.5.3	1 Introduction	• Revised SWIFT's service name from "SWIFT Net Browse" to "SWIFT WebAccess".
			2.6.3 SWIFT WebAccess	
			2.5 UI Functions	• Revised the access methods of new SWIFT WebAccess service through AWP/Personal Token.
			2.5.1 User Authentication via SWIFT WebAccess	• Updated the user authentication description for SWIFT WebAccess login.
			2.5.3 Client Software/Token Requirements	• Added token requirements and revised client software requirements for SWIFT WebAccess.
			3.1.2.3 SWIFTNet FileAct Service Name	• Revised the description of SWIFTNet FileAct service.  • Removed the description of SWIFTNet Browse service.
			3.2 SWIFT WebAccess Service	• Revised the description for SWIFT WebAccess service from SWIFTNet Browse service.
			3.2.1 URL and Service Name for TR SWIFT WebAccess Service	• Added new SWIFT WebAccess Service Name and corresponding URLs for the TR SWIFT WebAccess service.  • Added brief description of Closed User Group (CUG) for the TR SWIFT WebAccess service.  • Added IP Address column for the URLs of the TR SWIFT WebAccess service.
			3.2.2 SWIFT User Certificates Set-up	• Added new sections to indicate details for SWIFT WebAccess configuration.
			3.2.3 SWIFT RBAC Role Assignment	
			3.2.4 Installation and Configuration of SWIFT Software	
			3.2.5 Browser Configuration	• Revised the description of the Browser Configuration for SWIFT WebAccess.
			Document References	• Revised the name of document 3 to "SWIFT WebAccess Configuration and Troubleshooting Guide" and rearranged the other document references number.
			Abbreviations and Acronyms	• Revised the abbreviation of SWIFT Alliance Web Platform.

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				<p>This change log section states the document update / textual amendment on HKTR specification from AIDG v1.5.2 to v1.5.3 (i.e</p> <ul style="list-style-type: none"> <li>- revised the correct FpML path / enumerated values in the documentation to reflect the existing design system requirements</li> <li>- removed redundant fields</li> <li>- updated the supported client software version / certification list</li> </ul> <p>Most of the items were published in the important notes under the HKTR info page since Apr 2017:  <a href="https://hktr.hkma.gov.hk/ContentDetail.aspx?pageName=HKTR-RPT-Administration-and-Interface-Development-Guide">https://hktr.hkma.gov.hk/ContentDetail.aspx?pageName=HKTR-RPT-Administration-and-Interface-Development-Guide</a></p>
1	<b>AIDG 1.5.3</b>	HKTR Administration and Interface Development Guide (Reporting Service) version 1.5.3	<div>2.1.3 Supported File Format for Trade Submission</div> <div>2.2.2.2 Valuation Requests support in CSV</div> <div>2.5.2 Internet User Authentication Using SSL Client Certificates</div> <div>2.5.3 Client Software/Token Requirements</div> <div>2.5.4 URL for UI Functions via Internet</div>	<ul style="list-style-type: none"> <li>Revised the excel version number of reporting templates due to document update.</li> <li>Added "Entrust", "IdenTrust" and "DigiCert" to the Global Certification Authority.</li> <li>Updated the footnote for "Verisign" and "Symantec" under Global Certification Authority.</li> <li>Added the supported Windows version to Windows 10, removed Vista Business SP2 and the corresponding footnote(#5) .</li> <li>Revised the supported Internet Explorer version to 11.</li> <li>Updated the supported Microsoft Office Excel Version to 2010, 2013 or 2016.</li> <li>Added IP address for the URLs of Internet.</li> </ul>
2	<b>AIDG 1.5.3 CSV 2.1 / Excel 2.6 for Trade Reporting</b>	Appendix C Template 1 to 10 - IR FX EQ Standard and Other CSV2.1 Excel2.6	Introduction	<ul style="list-style-type: none"> <li>Updated the supported Microsoft Office Excel Version to 2010, 2013 or 2016.</li> </ul>
		Appendix C Template 1 – IR Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - UTI value", and "Prior - Unique Transaction Identifier (UTI) - UTI Value".</li> <li>Revised the field description of "Mandatory Early Termination Date Tenor Period".</li> </ul>
		Appendix C Template 2 – IR Other (New Trade, Amendment, Backloading) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the field description of "Mandatory Early Termination Date Tenor Period".</li> </ul>
		Appendix C Template 3 – FX Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - UTI value", "Prior - Unique Transaction Identifier (UTI) - UTI Value", "Compression" and "Offshore Currency Indicator".</li> </ul>
		Appendix C Template 5 – EQ Standard (New Trade, Amendment, Backloading) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - UTI value", "Prior - Unique Transaction Identifier (UTI) - UTI Value", "Compression" and "Offshore Currency Indicator".</li> <li>Revised the field description of "Exchange ID" and "Related Exchange ID".</li> </ul>
		Appendix C Template 6 – EQ Other (New Trade, Amendment, Backloading) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the field description of "Exchange ID" and "Related Exchange ID".</li> </ul>
		Appendix C Template 7 – IR_FX_EQ Standard (Full Termination, Partial Termination) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - Issuer ID", "Unique Transaction Identifier (UTI) - UTI value" and "Unique Transaction Identifier - Unique Trade ID (UTI-TID)".</li> </ul>
		Appendix C Template 8 – IR_FX_EQ Standard and Other (Withdrawal, Quit) (Reporting) CSV2.1 Excel2.6	Field Definition - Event	<ul style="list-style-type: none"> <li>Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - Issuer ID", "Unique Transaction Identifier (UTI) - UTI value" and "Unique Transaction Identifier - Unique Trade ID (UTI-TID)".</li> </ul>

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3	<b>AIDG 1.5.3 FpML 5.5 for Trade Reporting</b>	Appendix A - IR FX EQ Standard Trade Submission Through XML Document (Reporting) FpML5.5	A.4.2	trdoc:requestDocumentHeader Component • Removed the field "purposeScheme".
			A.4.4	eventActivityReport Component • Revised the field location for "countryScheme".
			A.4.6	NotificationMessageHeader Component • Revised the field location for "messageIdScheme".
			A.6.2	Reporting - Trade • Added field for attribute "contractualDefinitionsScheme".
			A.6.3.1.3	Reporting - Interest Rate Swap – Inflation • Revised the field location for "indexSource" and "rateSourcePageScheme".
			A.6.3.2.1	Reporting – Foreign Exchange Forward and NDF • Revised the field description of "currencyScheme".
			A.6.3.3.4	Reporting – Equity – Dividend Swap • Revised the field location for "currencyScheme".
			A.6.3.3.5.1	Underlier • Revised the field description for "exchangeId", "relatedExchangeId" and "instrumentIdScheme" (for underlier - Index only).
			A.6.4.1	Common for all asset classes (under New Trade event, Backloading event or Amendment event) • Revised the FpML path of the fields "UTI – Issuer ID (for trade correlation)", "UTI – Issuer ID (for non-trade correlation)", "UTI – UTI value (for non-trade correlation)", "Prior UTI - Issuer ID", "Prior UTI - UTI value" and "UTI-TID".
			A.6.4.5	Termination event • Revised the FpML path of the field "UTI – Issuer ID (for trade correlation)".
			A.7	Summary on Coding Schemes • Revised the coding scheme name of the field "counterPartyOriginScheme" to "counterpartyOriginScheme".  • Revised the coding scheme name of "productTaxonomyScheme" to "productTypeScheme".  • Revised the coding scheme name of "inflationIndexSourceScheme" to "rateSourcePageScheme" and revised the relevant elements and usage.  • Removed the coding schemes for the field "dayCountFractionScheme", "instrumentIdScheme (for Interest Rate)", "informationProviderScheme" and "rateSourcePageScheme" (for FX).
		Appendix E - Extended schema Trade FpML5.5	hkicl-tr-doc.xsd	• Added the fields "tr:compression" and "tr:offshoreCurrencyIndicator" under Type "tr:PartyTradeInformation".
			hkicl-tr-valuation-reporting.xsd	• Removed the fields "tr:reportingFor", "tr:tradeParty1" and "tr:tradeParty2".
4	<b>AIDG 1.5.3 CSV 3.0 / Excel 3.3 for Trade Reporting</b>	Appendix C Template 1 to 8 - CD CM Standard and Other CSV3.0 Excel3.3	Introduction	• Updated the supported Microsoft Office Excel Version to 2010, 2013 or 2016.
		Appendix C Template 1 – CD Standard (New Trade, Amendment, Backloading) (Reporting) CSV3.0 Excel3.3	Field Definition - Event	• Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - UTI Value" and "Prior - Unique Transaction Identifier (UTI) - UTI Value".
		Appendix C Template 2 – CD Other (New Trade, Amendment, Backloading) (Reporting) CSV3.0 Excel3.3	Field Definition - Event	• Revised the field description of "Payment Frequency".
		Appendix C Template 3 – CM Standard (New Trade, Amendment, Backloading) (Reporting) CSV3.0 Excel3.3	Field Definition - Event	• Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - UTI value", "Prior - Unique Transaction Identifier (UTI) - UTI Value", "Compression" and "Offshore Currency Indicator".
		Appendix C Template 5 – CD_CM Standard (Full Termination, Partial Termination) (Reporting) CSV3.0 Excel3.3	Field Definition - Event	• Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - Issuer ID", "Unique Transaction Identifier (UTI) - UTI value" and "Unique Transaction Identifier - Unique Trade ID (UTI-TID)".
		Appendix C Template 6 – CD_CM Standard and Other (Withdrawal, Quit) (Reporting) CSV3.0 Excel3.3	Field Definition - Event	• Revised the FpML path of the fields "Unique Transaction Identifier (UTI) - Issuer ID", "Unique Transaction Identifier (UTI) - UTI value" and "Unique Transaction Identifier - Unique Trade ID (UTI-TID)".

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5	<b>AIDG 1.5.3 FpML 5.7 for Trade Reporting</b>	Appendix A - CD CM Standard Trade Submission Through XML Document (Reporting) FpML5.7	A.4.2	trdoc:requestDocumentHeader Component
			A.4.4	eventActivityReport Component
			A.4.6	NotificationMessageHeader Component
			A.6.2	Reporting - Trade
			A.6.3.1.1	Reporting – Credit Default Swap
			A.6.3.2.4.8	MetalPhysicalLeg
			A.6.3.2.4.9	Commodity
			A.6.3.3.7	Reporting – Party Trade Identifier / Trade Identifier
			A.6.4.1	Common for all asset classes (under New Trade event, Backloading event or Amendment event)
			A.6.4.4	Termination event
		Appendix E - Extended schema Trade FpML5.7	hkicl-tr-doc.xsd	
6	<b>AIDG 1.5.3 CSV 2.2 / Excel 2.7 for Valuation Reporting</b>	Appendix C Template - All Standard and Other (Valuation) (Reporting) CSV2.2 Excel2.7	Introduction	
7	<b>AIDG 1.5.3 FpML 5.5 for Valuation Reporting</b>	Appendix G - All Standard and Other Valuation Submission Through XML Document FpML5.5	G.4.2	trdoc:requestDocumentHeader Component
			G.4.6	Common Request Message Elements
			G.6	Required Fields for Reporting Requirement
		Appendix H - Extended schema Valuation FpML5.5	hkicl-tr-doc.xsd	