



25 November 2016

The Chief Executive  
All Regulated Entities

Dear Sir/Madam,

**Phase 2 Mandatory Reporting under the OTC Derivatives Regime**

You may be aware that the Securities and Futures Commission (SFC) and the HKMA had jointly announced on 15 July 2016 that the phase 2 mandatory reporting requirements under the OTC derivatives regime would take effect from 1 July 2017. As compared to the phase 1 mandatory reporting requirements which came into effect on 10 July 2015, the phase 2 mandatory reporting requirements will cover transactions in all OTC derivatives products as well as reporting of valuation information.

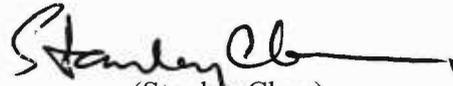
Together with the regulatory authorities (SFC and HKMA), the OTC derivatives trade repository of the HKMA (HKTR) would conduct three sessions of briefing on the phase 2 mandatory reporting requirements under the OTC derivatives regime and access to the reporting service offered by the HKTR. Details of the briefing sessions are as follows:

Date : 13 December 2016  
Time : 1<sup>st</sup> session (10:00 am to 11:30 am) (Cantonese)  
2<sup>nd</sup> session (2:15 pm to 3:45 pm) (English)  
3<sup>rd</sup> session (4:30 pm to 6:00 pm) (Cantonese)  
Place : HKMA office (55/F Two International Finance  
Centre, 8 Finance Street, Central, Hong Kong)

If your institution is interested in attending the briefing, please complete and return the attached enrolment form to the HKTR by fax (852) 2878 1679 or by e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk) on or before 7 December 2016. Each institution may send no more than three participants. If the number of participants signed up for a session has exceeded the capacity of the venue, enrolled participants will be advised to attend another session.

If you have any enquiries, please contact the HKTR at (852) 8100 3115 or e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk).

Yours sincerely,



(Stanley Chan)

Head, Payment Systems Operation Division  
Financial Infrastructure Department

Encl.

c.c. Daphne Doo, Senior Director, Supervision of Markets, Securities and Futures  
Commission

## Enrolment form for briefing sessions on 13 December 2016

Name of institution: \_\_\_\_\_

TR Member code (if applicable): \_\_\_\_\_

### **Briefing sessions on the reporting requirements and HKTR reporting service**

*(Please tick the applicable session)*

	Date	Time	Language	Venue	Enrolment Cut-off
<input type="checkbox"/>	13-Dec-2016	10:00 – 11:30	Cantonese	HKMA Office*	07-Dec-2016
<input type="checkbox"/>		14:15 – 15:45	English		
<input type="checkbox"/>		16:30 – 18:00	Cantonese		

\*Venue: HKMA office, 55/F Two International Finance Centre, 8 Finance Street, Central, Hong Kong

My institution would like to nominate the following officers to attend the briefing session selected above:

Name	Telephone	Email

Note 1 : If the number of participants signed up for a session has exceeded the capacity of the venue, enrolled participants will be advised to attend another session.

**Note 2 : A confirmation for successful registration will be sent to your email, participants should only attend the session shown in the confirmation.**

*(Please complete and return this form to the HKTR by fax to (852)2878-1679 or by e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk) on or before the enrolment cut-off date)*