



3 December 2013

The Chief Executive
All Authorized Institutions

Dear Sir/Madam,

Trade Repository of the HKMA - Documentation Updates

Please kindly note that the Reference Manual and the Administration and Interface Development Guide (AIDG) of the Reporting Service of the over-the-counter (OTC) derivatives Trade Repository of the HKMA (HKTR) have been updated (attached). The changes made are mainly for reflecting the new developments and clarifications set out below.

Mandatory fields for information of clearing brokers

The HKMA issued on 23 August 2013 a set of frequently asked questions on the interim reporting requirements (also attached). The answer to one of the questions (Question 9) requires the information of the clearing broker of a centrally cleared transaction be reported. Hence, the AIDG is refined to turn the fields for collecting clearing broker information into mandatory fields

Service availability during outage hours of the HKTR system

Service availability of the HKTR system during the Sunday system outage window is clarified

Expansion of supportable values

Additional values are added for floating rate index for interest rate swaps, business center and information provider to the enumeration schedule of the AIDG

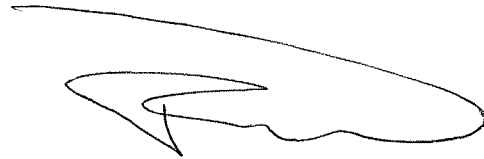
SWIFTBIC for member registration

It is clarified that TR Members should supply the SWIFTBIC of their Hong Kong office/branch to the HKTR during member registration

Your institution is reminded to take into consideration the refinements and clarifications made to the Reference Manual and AIDG when reporting or making preparations for reporting to the HKTR.

For any enquiries, please contact the TR of the HKMA at (852) 8100 3115 or e-mail to hktr@hkma.gov.hk.

Yours faithfully,

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the top.

(Colin Pou)

Head, Payment Systems Operation Division
Financial Infrastructure Department

c.c. Mr Rico Leung, Senior Director, Supervision of Markets, Securities and Futures Commission

Encl.