Hong Kong Monetary Authority
OTC Derivatives Trade Repository
TR Member Readiness Declaration

To: Hong Kong Monetary Authority   Date: _____

Name of Participant   : _____

TR Member Code   : _____ Telephone Number: _____

Contact Person   : _____ Email:_____

Select applicable service of the Hong Kong Trade Repository:

☐ Reporting Service   ☐ Matching and Confirmation Service

This is written to declare that we have conducted related technical setup and successfully completed adequate testing such as user-defined test, Simulation Test and agent testing (if applicable) to ensure the reporting readiness for the selected service of the Hong Kong Trade Repository.

We also confirm that we are ready to be established in the Production Environment and perform operational parameters setups (i.e. define roles, create users and related settings) for the selected service of the Hong Kong Trade Repository for below applicable products on or after the corresponding effective dates:

Interest Rate Derivatives

Product Type	Product Sub-type	Effective Date (D/M/Y)
Single Currency Interest Rate Swap	☐ Floating vs Fixed	/ /
	☐ Basis Swap (Floating vs Floating)	/ /
	☐ Overnight Index Swap (Floating vs Fixed)	/ /
	☐ Fixed vs Fixed	/ /
	☐ Inflation Swap	/ /
Cross Currency Interest Rate Swap	☐ Floating vs Fixed	/ /
	☐ Basis Swap (Floating vs Floating)	/ /
	☐ Fixed vs Fixed	/ /
CapFloor	☐ Cap Floor	/ /
FRA	☐ Forward Rate Agreement	/ /
Option	☐ Swaption	/ /
Other	☐ Other	/ /

Foreign Exchange Derivatives

Product Type	Product Sub-type	Effective Date (D/M/Y)
Non-Deliverable Forward	☐ Non-Deliverable Forward	/ /
Forward	☐ Forward	/ /
Non-Deliverable Option	☐ Non-Deliverable Option	/ /
Vanilla Option	☐ Vanilla Option	/ /
Other	☐ Other	/ /

Equity Derivatives

Product Type	Product Sub-type	Effective Date (D/M/Y)
Swap	☐ Price Return Basic Performance	/ /
	☐ Parameter Return Variance	/ /
	☐ Parameter Return Dividend	/ /
Option	☐ Price Return Basic Performance	/ /
Other	☐ Other	/ /

Credit Derivatives

Product Type	Product Sub-type	Effective Date (D/M/Y)
Single Name	☐ Corporate	/ /
	☐ Sovereign	/ /
Index Tranche	☐ CDX	/ /
	☐ iTraxx	/ /
Index	☐ CDX	/ /
	☐ iTraxx	/ /
Other	☐ Other	/ /

Commodity Derivatives

Transaction Type	Trade Leg Type	Effective Date (D/M/Y)
Swap	☐ Fixed Float	/ /
	☐ Float Float	/ /
	☐ Float Coal	/ /
	☐ Float Electricity	/ /
	☐ Float Gas	/ /
	☐ Float Oil	/ /
	☐ Fixed Coal	/ /
	☐ Fixed Electricity	/ /
	☐ Fixed Gas	/ /
	☐ Fixed Oil	/ /
Option	☐ Average Bullion	/ /
	☐ Average Metal	/ /
	☐ Fixed Bullion	/ /
	☐ Fixed Metal	/ /
	☐ Option Option	/ /
Forward	☐ Average Bullion	/ /
	☐ Average Metal	/ /
	☐ Fixed Bullion	/ /
	☐ Fixed Metal	/ /
Other	☐ Other	/ /

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Authorized Signature(s)
Company Chop