



23 June 2015

The Chief Executive  
All Regulated Entities

Dear Sir/Madam,

**Launch of mandatory reporting under the new OTC derivatives regime**

You may be aware that the Securities and Futures Commission (SFC) and the HKMA had jointly announced on 15 May 2015 that the mandatory reporting requirements under the new OTC derivatives regime would take effect from 10 July 2015. As compared to the Interim Reporting Requirements on Licensed Banks (LBs) introduced by the HKMA in August 2013, the mandatory reporting requirements under the OTC derivatives regime will cover other types of reporting entities (non-LB Authorized Institutions, Approved Money Brokers, Licensed Corporations and Central Counterparties operating in Hong Kong) as well as more transactions of LBs (transactions with non-LBs and transactions not booked in Hong Kong).

Together with the regulatory authorities (SFC and HKMA), the OTC derivatives trade repository of the HKMA (HKTR) would conduct three sessions of briefing on the mandatory reporting requirements under the new OTC derivatives regime and access to the reporting service offered by the HKTR. Details of the briefing sessions are as follows:

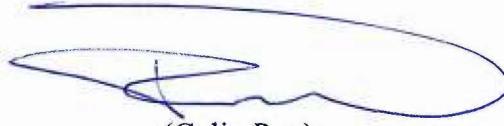
Date : 3 July 2015  
Time : 1<sup>st</sup> session (10:00 am to 11:30 am) (Cantonese)  
2<sup>nd</sup> session (2:15 pm to 3:45 pm) (English)  
3<sup>rd</sup> session (4:30 pm to 6:00 pm) (Cantonese)  
Place : HKMA office (55/F Two International Finance  
Centre, 8 Finance Street, Central, Hong Kong)

If your institution is interested in attending the briefing, please complete and return the attached enrolment form to the HKTR by fax (852) 2878 1679 or by e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk) on or before 30 June 2015. Each institution may send no more than three participants. If the number of participants signed up for a session has exceeded the capacity of the venue, enrolled participants will be advised to attend another session.

Please also note that the previously scheduled user training session of the HKTR on 3 July 2015 will be deferred to 26 August 2015. Video demonstration (English and Cantonese) on the use of the reporting service can be downloaded from the website of the HKTR.

If you have any enquiries, please contact the HKTR at (852) 8100 3115 or e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk).

Yours sincerely,



(Colin Pou)

Head, Payment Systems Operation Division  
Financial Infrastructure Department

Encl.

c.c. Mr Rico Leung, Senior Director, Supervision of Markets, Securities and Futures  
Commission

## Enrolment form for briefing sessions on 3 July 2015

Name of institution: \_\_\_\_\_

TR Member code (if applicable): \_\_\_\_\_

### Briefing sessions on the reporting requirements and HKTR reporting service

*(Please tick the applicable session)*

|                          | Date        | Time          | Language  | Venue           | Enrolment cut-off |
|--------------------------|-------------|---------------|-----------|-----------------|-------------------|
| <input type="checkbox"/> | 03-Jul-2015 | 10:00 – 11:30 | Cantonese | HKMA<br>Office* | 30-Jun-2015       |
| <input type="checkbox"/> |             | 14:15 – 15:45 | English   |                 |                   |
| <input type="checkbox"/> |             | 16:30 – 18:00 | Cantonese |                 |                   |

\*Venue: HKMA office, 55/F Two International Finance Centre, 8 Finance Street, Central, Hong Kong

My institution would like to nominate the following officers to attend the briefing session selected above:

| Name | Telephone | Email |
|------|-----------|-------|
|      |           |       |
|      |           |       |
|      |           |       |

Note: If the number of participants signed up for a session has exceeded the capacity of the venue, enrolled participants will be advised to attend another session.

*(Please complete and return this form to the HKTR by fax to (852)2878-1679 or by e-mail to [hktr@hkma.gov.hk](mailto:hktr@hkma.gov.hk) on or before the enrolment cut-off date)*