



10 July 2015

The Chief Executive
All Regulated Entities

Dear Sir/ Madam,

Electronic Reporting System of the Monetary Authority

Pursuant to section 21(2) of the Securities and Futures (OTC Derivative Transactions- Reporting and Record Keeping Obligations) Rules ("Reporting Rules"), the documents below are published by the Monetary Authority (MA). The documents below contain directions and instructions for use of the electronic reporting system (such system may be referred to as "Hong Kong Trade Repository") and the submission of the transaction information as defined in the Reporting Rules.

The documents below will be updated and revised from time to time to reflect the latest development of the electronic reporting system and the Reporting Rules. The MA may also issue additional guidance or circulars to supplement these documents, which will constitute an integral part of the documents. Reporting entities are reminded to refer to the latest version of these documents, supplementary guidance and circulars issued by the MA and the Reporting Rules carefully to make sure that they are fully aware of any changes and will meet the deadline for adopting the updated requirements and technical specifications.

- (a) OTC Derivatives Trade Repository Reporting Service Reference Manual;
- (b) Operating Procedures for Hong Kong Trade Repository - User Manual for Participants (Administrative Functions);
- (c) Operating Procedures for Hong Kong Trade Repository - User Manual for Participants (Trade Functions – Reporting Service);

- (d) Operating Procedures for Hong Kong Trade Repository - User Manual for Participants (Trade Functions – Reporting Service - Appendix);
- (e) Hong Kong Trade Repository Administration and Interface Development Guide – Reporting Service; and
- (f) Hong Kong Trade Repository Administration and Interface Development Guide- Reporting Service Annex – Supplementary Reporting Instruction (SRI) for OTC Derivative Transaction.

If there are any questions about the Reporting Rules, please contact the Banking Policy Department of the MA at (852) 2878 1279 / (852) 2878 1022 (for Authorized Institutions); the Payment Systems and Oversight and Licensing Division of the HKMA at (852) 2878 1470 (for Approved Money Brokers); or the Supervision of Markets Division of the Securities and Futures Commission at (852) 2231 1788 (for other entities). For questions about the service and operation of the electronic reporting system, training and simulation tests, please contact the HKTR at (852) 8100 3115 or e-mail to hktr@hkma.gov.hk.

Yours faithfully,

A handwritten signature in black ink, appearing to be 'Colin Pou', with a long horizontal stroke extending to the left.

Colin Pou

Head, Payment Systems Operation Division
Financial Infrastructure Department

c.c. Mr Rico Leung, Senior Director, Supervision of Markets, Securities and Futures Commission

Encl.