

### AIDG Notification (Important Notes to Read)

HKTR Adminstartion and Interface Development Guide (Reporting Service) version		AIDG v1.3 Existing standards [with macro templates version]	AIDG v1.4 Enhanced existing standards [documentation version]	AIDG v1.4 Added new standards [documentation version]	AIDG v1.4.1 Updated version of AIDG v1.4 [with macro templates version]	AIDG v1.4.1 Updated version of AIDG v1.4 [ with macro templates version ]	AIDG v1.4.2 Updated version of AIDG v1.4.1 [with macro templates version]	AIDG v1.4.2 Updated version of AIDG v1.4.1 [ with macro templates version ]
Publication Date		3 December 2013	31 March 2014	31 March 2014	18 September 2014	18 September 2014	10 July 2015	10 July 2015
Effective Date <sup># Note 1</sup>		3 December 2013	29 September 2014	29 September 2014	29 September 2014	29 September 2014	10 July 2015	10 July 2015
System Supported Product Scope (Existing 4 Products - Products introduced in July 2013) (15 New Products - Products introduced in September 2014)		Existing 4 Products	Existing 4 Products	Existing 4 Products & 15 New Products	Existing 4 Products	Existing 4 Products & 15 New Products	Existing 4 Products	Existing 4 Products & 15 New Products
Documents Involved / version	HKTR Administration and Interface Development Guide	AIDG v1.3 for FpML5.2 AIDG v1.3 for CSV1.0/ Excel1.3	AIDG v1.4		AIDG v1.4.1		AIDG v1.4.2	
	Appendix D Validation Rules							
	Appendix F Enumerations and coding schemes							
	Appendix A Trade Submission Through XML Document		AIDG v1.4 for FpML 5.2	AIDG v1.4 for FpML 5.5	AIDG v1.4.1 for FpML 5.2	AIDG v1.4.1 for FpML 5.5	AIDG v1.4.2 for FpML 5.2	AIDG v1.4.2 for FpML 5.5
	Appendix E Schemas and Examples in XML Format		AIDG v1.4 for CSV1.0/Excel 1.4	AIDG v1.4 for CSV2.0/Excel 2.0	AIDG v1.4.1 for CSV1.0/Excel 1.5	AIDG v1.4.1 for CSV2.0/Excel 2.1	AIDG v1.4.2 for CSV1.0/Excel 1.6	AIDG v1.4.2 for CSV2.0/Excel 2.2
	Appendix B Trade Submission Through CSV Format							
Appendix C Templates and Response								
Remarks			This document version incorporates a series of refinements made to AIDG version 1.3 for Existing 4 Products. Please refer to the Change Log (from AIDG 1.3 to 1.4) for more details	This document version incorporates a series of refinements made to AIDG version 1.3 for Existing 4 Products and added new standards to support 15 New Products	This version incorporates a series of updates made to AIDG version 1.4 for Existing 4 Products and 15 New products. Please refer to the Change Log (from AIDG 1.4 to 1.4.1) for more details		To cope with the latest reporting requirements made in the Consultation Conclusion, Frequently Asked Questions (FAQs) and Supplementary Reporting Instructions (SRI) for the OTC derivatives reporting regime, some technical requirements to the HKTR have been refined. This document version incorporates a series of updates made to AIDG version 1.4.1 for Existing 4 Products and 15 New products. Please refer to the Change Log (from AIDG 1.4.1 to 1.4.2) for more information.	
# Note 1 - Important Note		Please note the AIDG v1.3, AIDG v1.4 and AIDG v1.4.1 are still useable in term of technical aspect, however the mandatory reporting requirements (including the mandatory reporting data fields requirement) in these versions is obsoleted. The latest Mandatory Reporting Requirements (including mandatory reporting data fields requirement) and TR supported values & enumerations are updated to the AIDG v1.4.2. Reporting entities are required to adopt the AIDG v 1.4.2 as soon as possible and to observe the deadline for adopting the latest Mandatory Reporting Requirements and technical standards.						

<b>Hong Kong Trade Repository Administration and Interface Development Guide- Reporting Service ("Reporting Service AIDG") Annex – Supplementary Reporting Instruction (SRI) for OTC Derivative Transaction</b>	
<b>SRI - Supplementary Reporting Instruction</b> (Please note the SRI contains additional mandatory reporting requirements that reporting entities are also required to meet )	
<b>Publication Date</b>	10 July 2015
<b>Effective Date</b>	10 July 2015