

AIDG Notification (Important Notes to Read), 8 August 2025

HKT Administration and Interface Development Guide (Reporting Service) Version		ADG v1.3 ^{Final} Existing standards (all macro templates version)	ADG v1.4 & 1.4.1 ^{Final} [Documentation version] 31 Mar 2014 & [macro templates] 28 Sep 2014	ADG v1.4 ^{Final} & 1.4.1 ^{Final} [both macro templates version]	ADG v1.5 & 1.5.1 [Documentation version] 29 Dec 2015 & [macro templates] 29 Dec 2015	ADG v1.5.2 [Documentation version] 6 [macro templates version]	ADG v1.5.3 [Documentation version] 28 Oct 2016 & [macro templates] 19 Dec 2016	ADG v1.5.3 [both macro templates version]	ADG v1.6 [Documentation version] 8 Jul 2020 [macro templates] 29 May 2020	ADG v1.7 [Documentation version] 8 Jul 2020 [macro templates] 5 Nov 2020	ADG v1.8 [Documentation version] 23 Mar 2021 [macro templates] 5 Nov 2021	ADG v2.0 [Documentation version] 29 Mar 2022 [macro templates] 5 Nov 2022	ADG v2.1 [Documentation version] 8 Aug 2025 [macro templates] 8 Aug 2025
Publication Date		3 Dec 2013		10 Jul 2015				30 Aug 2019					
Effective Date		3 Dec 2013	29 Sep 2014	10 Jul 2015	6 Jun 2016	19 Dec 2016	17 Feb 2020 (for SWIFT related changes)		1 Jan 2020	7 Jan 2020	24 Jan 2022	19 Dec 2022	24 Jan 2022
System Supported Product Scope (RFX 4 Products - Products introduced in July 2013) (RFXEQ 15 Products - Products introduced in September 2014) (CRM and AI remaining products under RFXEQ - Products introduced in June 2016) For the list of products supported / not supported in HKT, please refer to the "OTC Derivatives Trade Repository Reporting Service Reference Manual" Annex 1 published by the HKMA for more information		RFX 4 Products	RFX 4 Products RFXEQ 15 Products	RFX 4 Products RFXEQ 15 Products	All products under RFXEQ asset classes	CRM Products	All products under RFXEQ asset classes	CRM Products	All products under RFXEQ asset classes	CRM Products	All products under RFXEQ asset classes	CRM Products	All products under RFXEQ asset classes
Documents Involved / Version:		HKT Administration and Interface Development Guide											
Appendix D Validation Rules		Appendix D Validation Rules											
Appendix E Enumerations and coding schemes		Appendix E Enumerations and coding schemes											
Trade Reporting		ADG v1.4 for FxM&S ADG v1.3 for CNY/ID/Excl 3											
Valuation Reporting		ADG v1.4 for FxM&S ADG v1.3 for CNY/ID/Excl 3											
Remarks		<p>This document version incorporates a series of refinements made to ADG version 1.3 for Existing 4 Products and added new standards to support 15 RFXEQ Products. Please refer to the Change Log (from ADG v1.3 to v1.4) for more details.</p> <p>This version incorporates the 10th July 2015 Reporting Rules requirements, frequently Asked Questions (FAQs) and Supplementary Reporting Instructions (SRI) for the OTC derivative reporting regime, with technical requirements to the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.4 for Existing 4 Products and 15 New Products. Please refer to the Change Log (from ADG v1.4 to v1.4.1) for more information.</p> <p>To cope with the proposed expanded mandatory reporting requirements and refinements made to ADG version 1.5.2 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.4.2 for Existing 4 Products and 15 New Products. Please refer to the Change Log (from ADG v1.4.1 to v1.4.2) for more information.</p> <p>This document version incorporates a series of technical updates to the revised ADG version 1.5.1 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.5.2 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.5.3 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.6 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.7 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 1.8 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 2.0 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined. This document version incorporates a series of updates made to ADG version 2.1 in response to the revised regulatory proposals on various technical aspects and data fields reporting requirements in the HKT have been refined.</p>											
Important Notes		<p>Note 1: Please note that the 10th July 2015 Reporting Rules requirements (including mandatory reporting data fields requirements) and TR supported rules are implemented in the ADG v1.4.2. Please refer to the ADG v1.4.2 for more details.</p> <p>Note 2: In response to the revised regulatory proposals on various technical aspects and mandatory reporting requirements, the Securities and Futures Commission (SFC) has issued a Notice on the Record Keeping Obligations (Amendment) Rules 2016 ("Amendment Rules"), the Gazette (Government Notice 3812) and the Financial Consultation Commission on Introducing Mandatory Clearing and Expanding Mandatory Reporting ("Phase 2 Reporting"), a set of update requirements and technical specifications for reporting in set out in the version 1.5.2 of Administration and Interface Development Guide (ADG). Reporting entities are reminded to read the revised ADG version 1.5.2 carefully to ensure they are fully aware of the changes from the ADG (version 1.5.1) published on 6 June 2016.</p> <p>Though the Phase 2 Reporting will not come into effective immediately, the existing reporting standards and technical specifications based in all the previous versions of ADG will be no longer able to support the mandatory reporting requirements under the Phase 2 Reporting which will be commenced on 1 July 2017. Reporting entities are reminded to make preparations to adopt the revised ADG (version 1.5.2) as soon as possible to ensure they will be ready when the Phase 2 mandatory reporting requirements start to apply.</p> <p>This document provides a summary of the general requirements for reporting transactions to the HKTR (including clarification of important concepts) and specific instructions for reporting particular types of transactions under different circumstances. It is important that this document should be read in conjunction with the parts of the SFO related to the mandatory reporting of OTC derivative transactions, the Reporting Rules and the HKTR reporting manuals.</p> <p>Reporting Party should always refer to the latest version published, please refer to the HKTR Info Page website.</p> <p>Note 3: As the outdated reporting templates (i.e. the format version: "RFXEQ 15 Products" and "RFX 4 Products") under ADG versions will be no longer supported by the HKTR system, the corresponding trade submission will be rejected by the HKTR system since the ADG 1.8 is effective.</p>											
Hong Kong Trade Repository Administration and Interface Development Guide-Reporting Service ("Reporting Service ADG") Annex - Supplementary Reporting Instructions (SRI) for OTC Derivative Transactions		This document provides a summary of the general requirements for reporting transactions to the HKTR (including clarification of important concepts) and specific instructions for reporting particular types of transactions under different circumstances. It is important that this document should be read in conjunction with the parts of the SFO related to the mandatory reporting of OTC derivative transactions, the Reporting Rules and the HKTR reporting manuals.											